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In re	Application of:	§	
	••	§	Group Art Unit: 1755
	Shelby F. Thames, et al.	§	-
	·	§	Examiner: Unknown
Serial	No.: 10/800,410	§	
Confi	rmation No.: 7041	§	Att'y Docket: 13002.0071.NPUS01
		§	(USMA:71)
		§	
Filed:	: March 12, 2004	§	
		§	
For:	FUNCTIONALIZED VEGETABLE	§	
	OIL DERIVATIVES, LATEX	§	
	COMPOSITIONS AND COATINGS	8	

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILing

Commissioner for Patents Alexandria, Virginia 22313-1450 I hereby certify that this correspondence is being deposited with the U.S. Postal Service on the date indicated below, with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date Sept 23, 2004 Signature

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. In accordance with the waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), copies of the thirty-four (34) U.S. patent documents on page 1 and 2 of the attached Form 1449, specifically, references A1-A34, are not enclosed. However, a copy of the five (5) foreign patent documents, specifically, references B1 – B5 are enclosed. Copies of the other art, specifically C1, are also enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account No.: 01-2508/13002.0071.NPUS00

Applicant respectfully requests that the listed documents be considered and made of record in the present case, and that the Examiner initial the spaces on the accompanying Form PTO-1449 to evidence the same.

Respectfully submitted,

Craig M. Lundell

Reg. No. 30,284

ATTORNEY FOR ASSIGNEE,

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September 23, 2004

Form PTO-1449 (modified)

EDict of Patents and Publications for Applicant's

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5 INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No. 13002.0017.NPUS01 Serial No. 10/800,410

Applicant

Shelby F. Thames, Oliver W. Smith, James M. Evans, Sandipan Duitta and Lianzhou Chen

Filing Date: March 12, 2004 Group: 1744

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 2

Other Art See Page 2

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2,593,444	04/22/52	Harrison	526	329.1	02/18/50
	A2	3,010,925	11/28/61	Lynn	524	313	09/17/59
	A3	3,714,100	01/30/73	Biale et al.	260	29.6	9/3/70
	A4	3,952,032	04/20/76	Vrancken et al.	260	404.8	5/14/74
	A5	3,993,612	11/23/76	Aihara et al	524	559	03/19/75
	A6	4,048,136	09/13/77	Kobayashi et al.	260	42.14	10/10/75
	A7	4,131,580	12/26/78	Emmons et al	260	29.6	11/14/77
	A8	4,141,868	02/27/79	Emmons et al	260	23	6/14/77
	A9	4,144,212	03/13/79	Linder et al	260	29.7	3/6/78
·	A10	4,226,754	10/7/80	Yun et al	260	29.6	6/8/78
-	A11	4,261,872	04/14/81	Emmons et al	260	22	5/22/78
	A12	4,289,675	09/15/81	Krajewski	260	29.6	12/3/79
	A13	4,356,128	10/26/82	Rogier	260	465.6	4/27/81
	A14	4,540,739	10/10/85	Midgley	524	764	4/10/84
	A15	4,626,582	12/2/86	Virnig et al.	526	298	2/28/85
	A16	4,745,213	05/17/88	Schlosser et al.	560	217	6/17/85
	A17	4,791,167	12/13/88	Saukaitis	524	544	3/23/88
	A18	4,803,252	02/7/89	Kida et al.	526	297	7/29/86
	A19	4,826,907	05/2/89	Murao et al.	524	394	10/4/85
	A20	4,906,684	03/6/90	Say	524	548	12/9/88
	A21	5,122,567	06/16/92	Spada et al.	524	818	7/8/91
	A22	5,243,069	09/7/93	Emmons	560	224	3/11/91
	A23	5,288,807	02/22/94	Hinz	525	279	7/2/91

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No. 13002.0017.NPUS01	Serial No. 10/800,410	
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT		Applicant Shelby F. Thames, Oliver W. Smith, James M. Evans, Sandipan Duitta and Lianzhou Chen		
(Use several sheets if necessary)		Filing Date: March 12, 2004	Group: 1744	
U.S. Patent Documents See Page 1		Patent Documents See Page 2	Other Art See Page 2	

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2,593,444	04/22/52	Harrison	526	329.1	02/18/50
	A2 .	3,010,925	11/28/61	Lynn	524	313	09/17/59
	A24	5,312,889	05/17/94	Frische et al.	528	74.5	8/1/90
	A25	5,362,816	11/8/94	Snyder et al.	525	329.9	6/4/92
	A26	5,435,879	07/25/95	Knutson et al.	156	327	11/7/90
	A27	5,733,970	03/31/98	Craun	524	811	01/28/97
	A28	5,750,751	05/12/98	Saam	554	165	11/01/96
	A29	6,001,913	12/14/99	Thames et al.	524	398	12/24/96
	A30	6,140,535	10/31/00	Aznotti-Russo	526	238.2	12/17/97
	A31	6,174,948	01/16/01	Thames et al	524	398	12/24/96
	A32	6,203,720	03/20/01	Thames et al.	252	182.12	12/24/96
	Á33	2003/0195297					
	A34	2003/0045609	, ,				
	A35						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	0 466 409 A1	15.01.92	European	C09D	133/02	Yes
	B2	153599	6/11/81	India	C07C	67/04	Yes
	В3	154467	7/16/80	India	C07C	69/54	Yes
	B4	154647	8/12/81	India	C11C	3/10	Yes
	B5	EP 319608 A1	06/1989				

Examiner:	DATE CONSIDERED
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(Use several sheets if necessar	у)	Filing Date: March 12, 2004	Group: 1744	
9		atent Documents	Other Art See Page 2	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	Cl	"Caster-Based Derivatives; Synthesis of Some Acrylate Esters" by Jane S. Nelson and Thomas H. Applewhite, <u>Journal of the American Oil Chemists' Society</u> , September, 1966, pp. 542-545
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
	C8	· ·
	C9	
	C10	,

Examiner:	DATE CONSIDERED:

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